L.S. De Case 3:19-cv-00208-GMG Document 10-18 Filed 06/04/20 Page 1-of 12 Page ID #:1696

Bureau of Mechol, Tobacco, Firearms and Explosives

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Firear

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Gestain violations of the Gun Connot Act. 184. (5.0) 421 transact. seq., are punishable by up o 10 years imprisonment and/or up to a \$250.000 fine.	tion's	an u
Read the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises"; includes business temporarily conducted from a qualifying gan show or even in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT." Section A - Must Be Completed Personally By Transferee Buyer	69	15
1. Transferee's Buyer's Full Name (If legal name contains an initial only, record "10" after the name of the name of the ladder suffex (e.g., Jr. Sr. II. III) 2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address Redacted 3. Piace of Birth 4. Height 5 Neight 6 Sey 7. Birth Date	ZIPC	-
U.S. City and State OR- Foreign Country In. 16 1. Social Socia	He Det	
Hispanie or Latino American Indian or Alaska Native Black or African American White Native Hawairin or Other Pacific Islander	u.,	
Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions. Are you the actual transferee buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer It a and may proceed to question II.b. (See Instructions for Question II a.)	Yes Z	No.
Are you under indicament or information in any court for a felony, or any other crame for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)	1	
Have you ever been convicted in any court of a felony , or any other crime for which the judge could have imprisoned you for more, than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11).		
Are yourn fugative from justice? (See Instructions for Question 11.4.)		
Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narconic drug, or any other controlled substance. Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.		
Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions of a for Question 11.f.)		
Have you been discharged from the Armed Forces under dishanarable conditions?		
Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)		
Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Quastion 1: 1)		9
2.a. Country of Citizenship: (Check List more than one, if applicable, Nationals of the Enited States may check U.S.A.) United States of America (U.S.A) Other Country Countries (Specify):	***************************************	In erwohamile steel
2.b. Have you ever renounced your United States citizenship?	Yes	\[\frac{1}{6} \]
2.c. Are you an alien illegally or unlawfully in the United States?	峝	献,
2.d.1. Are you an aften who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.) 2.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		图
3. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS≈, or 194#):	1	Numerous I
evious Editions Are Obsolete Transferce/Buyer Continue to Next Page ATT Form 473 (530)	1,4)	e new sets de netwo

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Revised October 2016

Case 3.19-CV-00208-GMG D					
certify that my answers in Section A are true, correct	i, and complete. I have r	ead and understand the No	tices, Instructi	ons, and Defin	itions on ATF Form
1473. I understand that answering "yes" to question	11.a. if I am not the actua	l transferee/buyer is a crim	e punishable a	s a felony und cab 11 i and oc	er Federal law, and
may also violate State and/or local law. I understand s prohibited from purchasing or receiving a firearm.	that a person who answer	es "yes 10 any or me quest	10115 11.D. 1111 01 1041ian 17 d 1	ign 11.1 anu m is orohibited f	rom receiving or
s prohibited from purchasing or receiving a nrearm- possessing a firearm, unless the person answers "yes"	to angersianu inai a pers	on who answers yes to queen idea the documentation	reanired in 18	.c. Talso unde	rstand that making
oossessing a mearm, unless the person auswers "yes my false orabor-written-statement-or-exhibiting any-	to question cauta and p doka eur misessoresented k	lon#fication-with respect to	this transaction	m, i a crime.p	unishable as-a
elony under Federal law, and may also violate State	and/or local law. I furthe	r understand that the repet	itive purchase	of rearms foi	the purpose of
esale for Aychhood and profit without a Federal fire	arms license is a violation	of Federal law. (See Inst	ructions for Q	uestion 14.)	•
4. Transperce's/Buyer's Signature		nak, galar mengenganggangan melahi dan disempiran dan dibebah disempirah (KCPR) (KCPR) (KCPR) (KCPR) (KCPR) (KCPR)		15. Cartificat	ion Date
			-	5/12	111
114, 11-1/10	estantial (Caracas maneras and analysis and second of the company of the Assessment		N-11-2-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	0100	
11		eted By Transferor/Seller			
6. Type of firearm(s) to be transferred teheck or ma	rk all that apply).	17. If transfer is at a qual-	Tynig gun sho	w or event:	
	rm (frame, receiver, etc.	Name of Function:			
rifles or See Instruct	tions for Question 16)				
shotgwis)		City. State:			
18.a.Identification (e.g., Virginia Driver's license () .	1 DL) or other valid gove	rnment-issued photo idemii	îcation.) (See	Instructions fe	or Question 18.a.r
Issuing Authority and Type of Identification	Number on Identification	, , , , , , , , , , , , , , , , , , ,	Expiration Da	ate of Identific	ation <i>(if any)</i>
wante control and the control			Month	Day	Year
1,2,1 × 1	C4594	77	09	177	Jan Q
WVDL				100	10011
18.b. Supplemental Government Issued Documentati	on tif identification docu	nent does not show current	residence udd	ress) (See his	tructions for
Question 18.b.)					
8 c. Exception to the Nonimmigrant Alien Prohibition	1 Calmana Paga baran	r memoral "VES" to 12 d	7 the transfer	r/coller must i	second the type of
8 c. Exception to the Normaningrant After Profitorial documentation showing the exception to the pro-	MI. If the transferee only e	o to this ATE From 4173 /	z, the transiere See Instruction	us for Ouestio	n 18 ca
documentation showing the exception to the bro	authoriano attach a col-	y tertina eri regini (172). I	Creative in the control	200 200 000	
	туран (т. 1885 — 1885 ж.) бай ана бай бай тайра байган байган байган байган байган байган байган байган байган				3/1
Questions 19, 20, or 21 Must Be Complete	d Prior To The Transfer	Of the Firearm(s) 15ee i	'nstructions for	· Questions 19	t, 20 ana 27.)
9 a. Date the transferee s/buyer's identifying inform	ation in Section A was	19.b. The NICS or State	transaction nui	nner tij prorie	ect) was:
transmitted to NICS or the appropriate State ago	such:				
Month Day Year					
1 · · · · · · · · · · · · · · · · · · ·					
08 23 2017		A STATE OF THE PARTY OF THE PAR			1.0 > 17.000
9 c. The response initially (first) provided by NICS	or the appropriate State	19.d. The following respo		e later receive	d from NICS or the
agency way:		appropriate State ag			r
Proceed Delayed		Proceed		(date)	Overturned
The focusing may be	trensferred on	Denied		_ (detter	
Cancelled #'Sh	ne lav permins roptionalr _i	Cancelled		- t-later	
Calle Circu					
		No response was p			
9.e. (Complete if applicable.) After the firearm was	transferred, the followin	g response was received fro	om NICS or the	e appropriate ?	State agency on:
(dete).	,	Denied Cane			
19.f. The name and Brady identification number of	1 81700	in de 110 a Maga of FFI	Employee Co	malering NIC	Salveck (Onnonal)
19.f. The name and Brady identification number of	me wie 2 examiner ichi	infilli 19.8. Name of the	. Emproyee co	anpaceme rac	or concerns a copy of the control
	consistency with the constraint of the constrain				
(name)	(mmher)				al udan n ill racain a
0. No NICS check was required because a ba	ckground check was com	pleted during the NFA app	rovai process c	m the marriar	m who will receive
the NFA firearm(s), as reflected on the app	roved NEA approaction. (See instructions for Questi	(111 517, 1		and which amilia
No NICS check was required because the	transferee buyer has a va	nd permit from the State w	nere the transli	er is to take pl	ace, which quannes
as an exemption to NICS. (See Instruction		le i e e	. ts		77
The second secon	of Issuance (if any)	Expiration Date (if any)	. 1	mji Number (162W 00	(C) (C)
	7-20-17	107-22-20		WCW VC	
		Personally By Transferee			
f the transfer of the firearm(s) takes place on a differ	rent day from the date tha	i the transferce buyer signe	d Section A. tl	ne transferee b	uyer must complete
Section C immediately prior to the transfer of the fire	carm(s). (See Instructions	s for Question 22 and 23.1			and the second s
certify that my answers to the questions in Secti	on A of this form are stil	I true, correct, and comp	ete.	Change and the state of the sta	The STATE CONTROL TO THE STATE OF THE STATE
22. Transferee's Buyer's Signature	Milweldow was grant and qualifying the state of the control of the			23. Recertifi	cation Date
				William Control of the Control of th	
				Section of the sectio	
	Transferor/Seller Co		_		
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ATT Form 4473 (5300.9) Revised October 2016

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Section D - Wust Be Completed By Transfero	or Seller Even If The Firearn	n(s) is Not Transferred
24 25 Manufacturer and Importer II are diff the Model manufacturer and importer are difficum. (If Designated) the FFL must include (2002).	26 Serial Number	Type (Sc. Justini tions — Caliber or for Question 27) — Gauge
1. H.Poi.d 4595 100 120	R67410	Ritle YSHEP
3.		and an array of the analysis and the analysis of the second of the secon
4,	The second secon	
REMINDER - By the Close of Business Complete ATF Form 3310.4	For Multiple Purchases of H	andguns Within 5 Consecutive Business Days
29. Total Number of Firearms Transferred (Please handwrite by printing e one, two, three, etc. Do not use numerals.)		part of this transaction is a pawir redemption. Imber(s) From Question 24 Above:
31 For Use by Licensee (See Instructions for Question 31.)	,	transaction is to facilitate a private party transfer transfer Question 32 (
33. Trade corporate name and address of transferor seller and Federal Fire of FFL Number N-XX-NXXXVI thank scamp may be used. FRAZIE'S PROBLEM 922 N-Queen St. MAPTING What 25404- The Person Transferring The Fire:	455-CB-	02-84-01768
For Denied Cancelled Fransactions, the Person Wh I certify that: (1) I have read and understand the Notices, Instructions, and Def true, correct, and complete; and (3) this entire transaction record has been compensately conducted from a qualifying gun show or event in the same State is requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cased Section A (and Section C, if applicable); (2) my verification of the identification was completed); and (3) State or local law applicable to the firearms business—dispose of the firearm(s) listed on this form to the person identified in Section A.	initions on this XIF Form 4473; pleted at my licensed business proposed business proposed business is localled, I further certify on the brecorded in question 18 (and my lit is my belief that it is not unlaw	(2) the information recorded in Sections B and D is remises ("licensed premises" includes business occided) unless this transaction has met the asis of — (1) the transferee's/buyer's responses in re-s edification at the time of transfer, if Section C ful for me to self, delicent ransport, or otherwise
34 Transferor's Seller's Name (Pleuse print) 35 Transferor's Seller's William C Hot Mry Clim 26	SAL SAL	8-22-17
Purpose of the Form: The information and certification on this form are designed so that a person beensed under 18 U.S.C. 923 may determine if he she may lawfully sell of deliver a firearm to the person identified in Section A, and to alert the transferce buyer of certain restrictions on the receipt and passession of firearms. The transferor selfer of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the	If the transfer of a firearm is a the transfer is not completed the ATE Lorin 4473 in his he to which a sale, delivery, or to	of ANCELLENTRANSPERS MUST BE RETAINED femied concelled by NICS, or if for any other reason after a NICs check is mitinted, the hoensed must retain perords for at least 5 years. Forms 4473 with respect rander did not take place shall be separately retained ranglesses or chronological thy date of transferse.
transferor seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor seller is presumed to know the applicable State laws and published ordinances in both the transferor's seller's State and the transferoe's buyer's State. (See ATF Publication 3300.3, State Laws and Published Ordinances.)	mecomplete or improperly con transferor seller or the transfe error(s), photocopy the inacce revisions to the photocopy. T Sections B and D. The transf	ransferce buyer discovers that an AFF Ferm 44°3 is inpleted after the finearth has been transferred, and the tree buyer wishes to correct the outsistom of or urate form and make any necessary additions in the transferor seller should only make changes to be completely buyer should only make changes to Section A and is should initial and date the changes. The corrected
Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a tirearm to a nonlicensee who does not appear in person at the heensee's business premises only if the transferee buyer meets certain requirements. These requirements are set forth in section 922(c), 2° CFR 478,96(h), and MF Procedure 2013-2.	photocopy, should be attached transferor's seller's permanen Exportation of Firearms: T firearms exporter to obtain a l ports a fire urn without proper	to the original Form 4473 and retained as part of the
After the transferor seller has completed the treating transaction, he/she must make the completed, original ATF Form 4473 to high includes the Notices, Gen-		Section A
eral Instructions, and Delimitons), and any supporting documents, part of frischer permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to AFF. Filing may be chronological thy date of disposition), alphabetical thy name of purchasers, or numerical thy ransaction serial number), as long as all of the transferor's/seller's completed Forms	raigin that the answers are tru- buyer is mable to read and or	rsonally complete Section A or this form our certify ecorrect, and complete. However, if the transferee ownte, the answers, other than the signal recommy backworlding the transferor seller. This persons is the

ATT Form 44.13 (13) september 2019

than the transferor sellers must then sign as extresses to the transferor's buyer's

answers and signature certification in question 14.

4473 are filed in the same manner.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prob determine whether you are prohibited from receiving et. seq., are punishable by up to 10 years imprisonm	ng a firearm. Certain vio	lations of the Gun Con		Transferor Transactio Number	m-Scr	ial
Read the Notices. Instructions, and Definitions on the includes business temporarily conducted from a qualifocated) unless the transaction qualifies under 18 U.S. Section	lfring gun show or event it	<i>i the same State in whic</i> ust be handwritten in i	h the licensed premises is nk. "PLEASE PRINT."	52	12	}
L. Transferce's/Buyer's Full Name (If legal name)				e, record "\	W.,	1
DOZIER	LAJUANE		MICHAEL			
2. Current State of Residence and Address (U.S.)	Postal abbreviations are	acceptable. Cannot		15 mes 17	710.77.	
Number and Street Address	City		County		AP Co	
Redacted	Mitsky		BEKELEY	V.V =	154	0/
3. Prace of Birth	4. Height	5. Weight 6. Sea (Lhs.)	7. Birth Date Male Month Day	l Yea	r.	
U.S. City and State -OR- Foreign Cou	intry Fr. S	-	emale \mathcal{O} Reda		ł	
8. Social Security Number Optional, but will help pr Redacted			Identification Number (UPIN)		: tSec	
	lition to ethnicity, select i	one or more race in 10), b. Both 10.a. and 10.b. must	be answered	.)	
Hispanic or Latino American Ind Not Hispanic or Latino Asian	ian or Alaska Native	1	erican V Other Pacific Islander	Vhice		
11. Answer the following questions by checking or	marking "yes" or "no" in	n the boxes to the right	of the questions.		Yes	No
a. Are you the actual transferee/buyer of the firear are acquiring the firearm(s) on behalf of anot	m(s) listed on this form?	Warning: You are no not the actual transfe	if the actual transferce/buyer rec-buyer, the licensee ranns	if you t transfer	\mathbf{Z}	
the firearm(s) to you. Exception: If you are pi and may proceed to question 11.b. (See Instructi	cking up a repaired firea	rm(s) for another perv	on, you are not required to ans	wer II.a.		
b. Are you under indictment or information in any more than one year? /See Instructions for Ques		other crime for which	the judge could imprison you	for		4
c. Have you ever been convicted in any court of a than one year, even if you received a shorter ser	felony, or any other crim itence including probation	e for which the judge of 'See Instructions to	could have imprisoned you for $P(M, \mathcal{L}, \mathcal{L})$	тоге		2
d. Are you a fugitive from justice? (See Instruction	ns for Question 11.d.)					
e. Are you an unlawful user of, or addicted to, mar Warning: The use or possession of marijuan decriminalized for medicinal or recreational	a remains unlawful und	er Federal law regard	g, or any other controlled subs less of whether it has been le	tance? galized or		
Have you ever been adjudicated as a mental def for Question 11.f.)			nental institution? (See Instruc	aons		Ż
g. Have you been discharged from the Armed Ford	ces under dishonorable	conditions?				
h. Are you subject to a court order restraining you such partner? (See Instructions for Question 1)		or threatening your ch	ild or an intimate partner or ch	ıld of		Z
i. Have you ever been convicted in any court of a	misdemeanor crime of d	omestic violence? /Se	Instructions for Question 11 t	,		Z
12.a. Country of Citizenship: (Check/List more tha	n one, if applicable. Nati	ionals of the United St	nes may check U.S. (.)	and the second s		Lucennand
United States of America (U.S.4)	Other Country/Countries	(Specify):				- (-)
12.b. Have you ever renounced your United Sta	nes citizenship'?				Yes	No
12.c. Are you an alien illegally or unlawfully in		and the second s				
12.d.1. Are you an alien who has been admitted to			(See Instructions for Question	12.d.i		
12.d.2. If "yes", do you fall within any of the execution				V A		لــــا
13. If you are an alien, record your U.SIssued Alien						·
Previous Editions Are Obsolete Page 1 of 6	STAPLE IF PAGES B	ontinue to Next Page ECOME SEPARATE		orm 44°3 (5300 rd October 2016	4)	

I sertify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF F 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, a

may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 1 is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that mak any false or all or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) 14. Transferee's/Buyer's Signature 15. Certification Date 1-26-17 Section B - Must Be Completed By Transferor/Seller 16. Type of firearm(s) to be transferred (check or mark all that apply): 17. If transfer is at a qualifying gun show or event: Long Gun Other Firearm (frame, receiver, etc. Name of Function: See Instructions for Question 16.) City, State: 18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a. Issuing Authority and Type of Identification Number on Identification Expiration Date of Identification (if any) 18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address). (See Instructions for Ouestion 18.b.) 18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee buyer answered "YES" to 12.d.2, the transferor seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.) 19.a. Date the transferee's buyer's identifying information in Section A was 19.b. The NICS or State transaction number (if provided) was: transmitted to NICS or the appropriate State agency: Month 19.d. The following response(s) was were later received from NICS or the agency was: appropriate State agency: X Proceed Delayed Proceed Overturned [The firearm(s) may be transferred on Denied Denied if State law permits (optional)) Cancelled Cancelled No response was provided within 3 business days. 19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: Proceed Denied Cancelled 19.f. The name and Brady identification number of the NICS examiner. (Optional) 19.g. Name of FFL Employee Completing NICS check. (Optional (name) (number) No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive $\overline{20}$. the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.) No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21.) Issuing State and Permit Type Date of Issuance (if any) Expiration Date (if anv) Permit Number (if anv) Section C - Must Be Completed Personally By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete. 22. Transferee's Buyer's Signature 23. Recertification Date Transferor/Seller Continue to Next Page

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AFF Form 4473 (5300.9). Revised October 2016

 \wp ase 3:19-cv-00208-GMG $\,$ Document 10-18 $\,$ Filed 06/04/20 $\,$ Page 6 of 12 $\,$ PageID #: 701 $\,$ Section D - Must Be Completed By Transferor /Seller Even If The Firearm(s) is Not Transferred 24. 25. 26 28 nufacturer and Importer (If any) (If the Model Serial Number Type (See Instructions Caliber or mujacturer and importer are different, (If Designated) for Question 27.) Gauge the FEL must include both.) ? 7*m*m MINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days Total Number of Firearms Transferred (Please handwrite by printing e.g., zero. Check if any part of this transaction is a pawn redemption. one, two, three, etc. Do not use numerals,) Line Number(s) From Question 24 Above: or Use by Licensee (See Instructions for Question 31.) 32. Check if this transaction is to facilitate a private party transfer. (See Instructions for Question 32.) frade corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FLL Number VAX-AXXXX) (Hand stamp may be used.) FRAZICIS Prun Shop 922 N. Queen St. MAR Pinsburg WVa 25404 4-55-003-02-07
The Person Transferring The Firearm(s) Must Complete Questions 34-37. 4-55-003-02-EA-01768 For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36. fy that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is orrect, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business rarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the ements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in a A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C impleted); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise e of the firearm(s) listed on this form to the person identified in Section A. ransferor's Seller's Name (Please print) 35. Transferor's/Seller's Signature 36. Transferor's/Seller's Title NOTICES, INSTRUCTIONS, AND DEFINITIONS FORMS 4473 FOR DENIED CANCELLED TRANSFERS MUST BE RETAINED. If the transfer of a firearm is denied cancelled by NICS, or if for any other reason e of the Form: The information and certification on this form are the transfer is not completed after a NICS cheek is initiated, the licensee must retain d so that a person licensed under 18 U.S.C. 923 may determine if he/she the ATF Form 4473 in his her records for at least 5 years. Forms 4473 with respect fully sell or deliver a firearm to the person identified in Section A, and to which a sale, delivery, or transfer did not take place shall be separately retained the transferee/buyer of certain restrictions on the receipt and possession in alphabetical the name of transferee) or chronological thy date of transferee v ins. The transferor/seller of a firearm must determine the lawfulness of certification) order. saction and maintain proper records of the transaction. Consequently, the r seller must be familiar with the provisions of 18 U.S.C. 921-931 and If the transferor seller or the transferee/buyer discovers that an AFF Form 4473 is lations in 2° CFR Parts 478 and 479. In determining the law fulness of incomplete or improperly completed after the firearm has been transferred, and the er delivery of a rifle or shotgun to a resident of another State, the transferor/seller or the transferee buyer wishes to correct the omission(s) or w seller is presumed to know the applicable State laws and published error(s), photocopy the maccurate form and make any necessary additions or es in both the transferor's seller's State and the transferee's buyer's revisions to the photocopy. The transferor/seller should only make changes to Sec. 1TF Publication 5300.5, State Laws and Published Ordinances.) Sections B and D. The transferee buyer should only make changes to Section V and C. Whoever made the changes should initial and date the changes. The corrected y. ATE Form 4473 must be completed at the licensed business premises photocopy should be attached to the original Form 4473 and retained as part of the trearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(e), transferor's/seller's permanent records. accused importer, manufacturer, or dealer to sell a firearm to a nonliho does not appear in person at the licensee's business premises only isferee buyer meets certain requirements. These requirements are set

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. **Warning:** Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor seller) must then sign as witnesses to the transferee s/buyer's answers and signature certification in question 14.

filed in the same manner.

ection 923(e), 27 CFR 478.96(b), and ATF Procedure 2013-2.

transferor seller has completed the firearms transaction, he/she must completed, original ATF Form 44°3 (which includes the Notices, Gen-

uctions, and Definitions), and any supporting documents, part of his/her it records. Such Forms 4473 must be retained for at least 20 years and

period may be submitted to ATF. Filling may be chronological (by date

tion), alphabetical (by name of purchaser), or numerical (by transac-

I mumber), as long as all of the transferor's/seller's completed Forms

U.S. Department of Justice

Bureau of Alcohol. Tobacco, Firearms and Explosives

Firearms Transaction Record

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WARNING: You may not redetermine whether you are et. seq., are punishable by u	prohibited	l-fram receivin	ig-a fisearm.	Cortain viola	itions of the t	emation yo San Contri	ou prov of Act. (ide will be u	sed to	Transa	ror's Se action Se act (If a)	riai" .
Read the Notices. Instruction includes business temporarily located) unless the transaction	' conducte	d from a quali s under 18 U.S	fying gun sho S.C. 922(c). (4	nv or event in	<i>the same Stat</i> st be handwr	<i>e in which i</i> itten in ink	the licer 5 "PLE	ised premise ASE PRIN	S 18	59	09	
1. Transferee's Buyer's Ful Last Name (Including suffix	Name (Te.g., .Jr.	If legal name c					ıl. İf no		al or nam	e, record	"NMN"	.,
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2. Current State of Resider	nce and A		Postal abbre	viations are	acceptuble.		a post	office box.)	= \			.,
Number and Street Address	1/2		City				County -	3		State	ZIP C	
Reda	ctec	ו	Mart:	insburg		·	Ber	Kelzy		WV	25	401
U.S. City and State	-OR-	Foreign Con	eNew.	4. Height	5. Weight (Lbs.)	6. Sex		7. Birth Da Month		, ,		
Frederick	OIX.	Torcign Cont	mi à	In. 3	230	l	nale	MOHIII	Day	acted	rear	
8. Social Security Number	Optional.	l but will help pro	veni misiden		9. Unique	L	ì	tion Numbe			ible (Sec	,
Redacted					Instructions							
10.a. connecty	10.b.	Race (In udd	ition to ethn	icity, select of	te or more ro	ice in 10.h.	. Beth	10.a. and It	h.b. must	be answei	red.)	
Hispanic or Latino		American Indi	an or Alaska	Native	Black or Afr	ican Ameri	ican		17 W	hite		
Mot Hispanie or Latino		Asian			Native Haw	aiian or Od	her Pac	ific Islander	لسما			
11. Answer the following que	estions by	checking or r	narking "yes	" or "no" in t	the boxes to t	he right of	the qua	stions.			Yes	No
a. Are you the actual transfe are acquiring the firear	m(s) on b	r or the aream ehalf of anoti	nts) iistea on ier person.	mis form? y	varning: \o of the actual	u are not t transferei	the acti e/buyei	ial fransfer , the licensi	ee/buyer se cannot	if you transfer	V	1 1
the firearm(s) to you. E	xception:	If you are pic	king up a re	paired fireari	m(s) for anot	her person,	, you a	v noi requir	ed to ansi	ver H.a.		
and may proceed to quest			,		1	. 1 ' 3 1	· ,	3.1.5	. ,			
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)								M				
c. Have you ever been conv than one year, even if you	icted in a a received	ny court of a I La shorter sem	elony, or any tence includi	y other crime ng probation?	for which the	e judge cou ctions for Q	ald have Quastion	e imprisoned v 11.e i	you for n	nore		
d. Are you a fugitive from j	ustice? (S	ee Instruction	s for Questio	n H.d.i	eren erekita. Er i i i i i i i i i i i i i i i i i i	TT 80-10-10-10-10-10-10-10-10-10-10-10-10-10				tanan da katan da		V
e. Are you an unlawful user Warning: The use or po	ession	of marijuana	remains un	lawful under	Federal law	regardles	or any ss of wi	other contro tether it ha	lled subst been leg	ance ³ palized or		
decriminalized for medi f. Have you ever been adjust							atal is st		· Free tons			ļļ
for Question 11.f.;	3100000000	a memai acio	cove Or na	ve you ever o	een committe	za to a men	1141 11151	unnon. 12.	E MATTIETI	umv		回
g. Have you been discharge	d from the	e Armed Force	s under dist	ionorable ec	mditions?							位
h. Are you subject to a cour such partner? (See Instru				ıg. stalking, o	r threatening	your child	or an i	ntimate part	ner or chil	ld of		M
i. Have you ever been conv	icted in a	my count of a r	nisdemeanor	crime of dor	nestic violen	ce? (See In	ustruc'(i.	ons for Qre	stion II i i	,		M
12.a. Country of Citizenship.			one, if appli	cuhle. Nation	ials of the U	rited States	s maji ci	hick U.S.A.)		and the second s		
☑ United States of Ame	erica (U.S		ther Country	/Countries (S)	vecifi):					Managara o Managara da Marana		
12.b. Have you ever reno	unced you	or United State	es citizenship	2	and the second s	Path haping to provide a path the state of t	an and an army Miles pro-con	PPROPERTY OF THE PROPERTY OF T			Yes	No.
12.c. Are you an alien ille	egally or	unlawfully in	the United S	tates'.							一一	
12.d.). Are you an alten wh						n visa? (Sea	e Instri	ictions for Q	uestion 1.	2.d.1 /		d
12.d.2. If "yes", do you fall	within at	ly of the excep	otions stated	in the instruct	ions"					N/A		
13. If you are an alien, record	your U.S.	-Issued Alien o	or Admission	number 1.4R#	USCIS#, or	194萬):						
Previous Editions Are Obsolete Page 1 of 6		-		e/Buyer Con PAGES BEC						rin 4473 (53) October 20		

Case 3:19-cv-00208-GMG Document 10-18 Filed 06/04/20 Page 8 of 12 PageID #: 703 1 certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form

4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.c.

is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. 1 also understand that making any false or all or viritien statement, or exhibiting any talse or misrepresented identineation with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for fivelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.) 15. Certification Date 14. Transferee's/Buyer's Signature Section B - Must Be Completed By Transferor/Seller 17. If transfer is at a qualifying gun show or event: Type of firearm(s) to be transferred (check or mark all that apply): Other Firearm (frame, receiver, etc. Long Gun Name of Function: See Instructions for Ouestion 16.) trifles or shotguns) City, State: 18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18 a) Expiration Date of Identification (if am) Issuing Authority and Type of Identification 1 Number on Identification Day #F066263 18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address) (See Instructions for 18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12 d.2, the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.) Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.) 19.b. The NICS or State transaction number (if provided) was: 19.a. Date the transferee 's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency: 100 FM 4 G17 Month 19.d. The following response(s) was/were later received from NICS or the 19.c. The response initially (first) provided by NICS or the appropriate State appropriate State agency: agency was: Overturned Proceed Proceed IThe firearm(s) may be transferred on Denied Denied if State law permits (optional)j Cancelled Cancelled No response was provided within 3 business days 19.e. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: Cancelled Denied Proceed 19.g. Name of FFL Employee Completing NICS cheek. (Optional) 19.f. The name and Brady identification number of the NICS examiner. (Optional) (number) (name) No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive 20. the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.) No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies 21. as an exemption to NICS. (See Instructions for Question 21.) Permit Number (if any) Expiration Date (if any) Date of Issuance (if any) Issuing State and Permit Type Section C - Must Be Completed Personally By Transferee/Buyer If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.) I certify that my answers to the questions in Section A of this form are still true, correct, and complete. 23. Recertification Date 22. Transferce's/Buyer's Signature Transferor/Seller Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

> ATE Form 4473 (5300.9) Revised October 2016

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116-1/6011		,			
. Section D - Mus	st Be Completed By	y Transferor/Seller E	ven If The Firearm(s) is N	lot Transferred	
24. Manufacturer and Importer If any) (II the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	Serie	26. Il Number	27. Type (See Instructions) for Question 27.)	28 Caliber or Gauge
HANDGUN SHU HANDGUN GLOCK 3.	M'P40 27	DVT4161 WKZLO	tion the state of the second contract of the	PISTUL PISTUL	405'in
4. REMINDER - By the Close of Business					
 Total Number of Firearms Transferred one, two, three, etc. Do not use numer 	rals.) Tu	ny printing e.g., zero. U ()	30. Check if any part of the Number(s	his transaction is a pawn) From Question 24 Abov	
31. For Use by Licensee (See Instructions)	for Question 31.)		32. Check if this transact	ion is to facilitate a priva is for Question 32.1	le party transfer.
33. Trade corporate name and address of tr of FFL Number A-XX-XXXXX. (Hand PROZIERS PAWN 922 N. QUEEN	d stump may be used SHOP ST	L) _.	e Number (Must contair: a) Ц-55-003-		·
	erson Transferring Transactions, the I	g The Firearm(s) Mu Person Who Complet	st Complete Questions 3- ed Section B Must Comp	olete Questions 34-36.	tions R and D is
certify tight; (1) i have tead and understand					

I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

KEVIN KIDPICK FI

Salts

87 Date Transferred

NOTICES, INSTRUCTIONS, A VELDEFINITIONS

34. Transferor's/Seller's Name (Please print) 35. Transferor's/Seller's Signature

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he she may lawfully self or deliver a firearm to the person identified in Section A, and to alert the transferee buyer of certain restrictions on the receipt and possession of firearms. The transferor selfer of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/selfer must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the safe or delivery of a rifle or shotgun to a resident of another State, the transferor/selfer is presumed to know the applicable State laws and published ordinances in both the transferor's selfer's State and the transferor's buyer's State. (See ATF Publication 5300.5. State Laws and Published Ordinances).

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-flie-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer or dealer to self a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(b), and VTF Procedure 2013-2.

After the transferor/seiler has completed the firearms transaction, he she must make the completed, original ATF Form 4473 (which includes the Notices General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by Jau. of disposition), alphabetical (by name of purchaser), or numerical (b) transaction serial number), as long as all of the transferor's seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSPERS MOST BE RETAINED. If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the XTL Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately tetained in alphabetical thy name of transferect or chronological thy date of transferect separately order.

36. Transferor's Seller's Title

If the transferor/seller or the transferee/buyer discovers that an AIT Form 44"3 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferoe/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Section B and D. The transferoe/buyer should only make changes to Section V and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c)

Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers cother than the signature may be completed by another person, excluding the transferor/seller. Two persons cather than the transferor/seller) must then sign as witnesses to the transferee's buyer's answers and signature/certification in question 14.

ATF Form 4473 (5300.9) Revised October 2016

+1MB No. (140-0020)

U.S. Department of Justice Bureau of Mechol, Tobacco, Urearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine. Number	ion-Sei	rial
Read the Notices. Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes husiness temporarily conducted from a qualifying gan show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink, "PLEASE PRINT." Section A - Must Be Completed Personally By Transferee/Buyer	75	
1. Transferee's Buyer's Full Name (If legal name contains an initial only, record "10" after the initial. If no middle initial or name, record "Vesta Name (Including suffix (e.g., Jr. Sr. II, III)) First Name Last Name (Including suffix (e.g., Jr. Sr. II, III)) First Name Middle Name	ZIP Co	ode (42)
a. Are you the actual transferee buyer of the firearm(s) fisted on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)	Yes	No
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11 h.)		
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c)		
d Are you a fugitive from justice? (See Instructions for Question 11.d.)		
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.		2
f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11,f.)		
g. Have you been discharged from the Armed Forces under dishunorable conditions?		101
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)		Z
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See histrictions for Question 11)		9
12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States man check U.S.1.) [4] United States of America (U.S.4) [5] Other Country/Countries (Specify):		Larrence
12.b. Have you ever renounced your United States citizenship?	Yes	
12.b. Have you ever renounced your United States citizenship? 12.c. Are you an alien illegally or unlawfully in the United States?	H	
12.d.1 Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12 d.)		
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		
13. If you are an alien, record your U.SIssued Aften or Admission number (AR#, USCIS#, or 194#):		

Previous Editions Are Obsolete

Descrify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form

473. I understand that answering "yes" to question 11.a. if I am not the a	iswers "yes" to any of the questions 11.b. through 11.i and or 12.b. through 12.c.
prohibited from purchasing or receiving a firearm. I understand that a	person who answers "yes" to question 12.d.1. is prohibited from receiving or
ossessing a firearm, unless the person answers "yes" to question 12.d.2. at	nd provides the documentation required in 18.c. I also understand that making
lons under Federal law, and may also violate State and or local law. I fur	ed identification with respect to this transaction, is a crime punishable as a rther understand that the repetitive purchase of firearms for the purpose of
sale for fivelihood and profit without a Federal firearms license is a viola	
Transferee's/Buyer's Signature	: 15. Certification Date
Kun Plant	97/
Section B - Must Be Co	impleted By Transferor/Seller
Type of firearm(s) to be transferred teheck or mark all that apply:	17. If transfer is at a qualifying gun show or event:
Handgun Long Gun Chher Fuearm plame, receiver, e. See Instructions for Question 16.	
shonguns)	City. State:
B a Identification te.g., Virginia Driver's license (V1 DL) or other valid gasting Authority and Type of Identification Number on Identification	government-issued photo identification () (See Instructions for Question 18.a.) ation Expiration Date of Identification (if any) (Month Day (Year
WUDC C299	457 03 17 2021
b Supplemental Government Issued Documentation (y) identification of Ouestion 18 h.)	locument does not show current residence address). (See Instructions for
-	1.37/1757 1. 12.12.37 1. 12.13.47 1. 12.14 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
documentation showing the exception to the prohibition and attach a	buyer answered "YES" to 12.d.2, the transferor/seller must record the type of
offentionation and the excellion to the literature and anaert a	Copy to this 2011 1 Offic 2012 13ct than item as for grace and trace?
O 10, 30 a 21 Mars De Control and Reign To The Trans	isfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.)
Questions 19, 20, or 21 Must be Completed Prior to The Trans. Date the transferee's/buyer's identifying information in Section A wa	ister Of the Pitearings) face institutions for Questions 19, 20 and 21.7
a pate the transferee stonyer's identifying information in Section 8 was transmitted to NICS or the appropriate State agency:	5 - 17,0, 116 19,0,5 of State transaction number by providing what.
than anticed to the experience of the experience	
Month Day Year	1-00/11/11/0
04 22 2017	100 CW6VH3
The response initially (first) provided by NICS or the appropriate Sta	tte 19.d. The following response(s) was were later received from NICS or the
agency was:	appropriate State agency:
Proceed Delayed	Proceed 7-26-17 (date) Overturned
Denied / The lisearchies may be transferred on Cancelled / The lisearchies may be transferred on if State have permits toption	Denied idaici
Cancelled — (CO) If Siehe law permis replied	Cancelled (dute)
	No response was provided within 3 husiness days.
a Complete if applicable). After the firearm was transferred, the follow	wing response was received from NICS or the appropriate State agency on:
idutes. Proceed	Denied Cancelled
Land Land	
f. The name and Brady identification number of the NICS examiner. I	Optional 19.g. Name of FFL Employee Completing NICS check. (Optional)
(name) (namber)	completed during the NFA approval process on the individual who will receive
the NFA firearm(s), as reflected on the approved NFA application	m. TSee Instructions for Question 20.1
No NICS cheek was required because the transferee buyer has r	a valid permit from the State where the transfer is to take place, which qualifies
as an exemption to NICS. (See Instructions for Question 21.)	Francisco (Francisco
suing State and Permit Type Date of Issuance <i>cif any</i>	Expiration Date (if any) Permit Number (if any)
	ted Personally By Transferee/Buyer
ction C immediately prior to the transfer of the firearm(s). (See Instruct	
ertify that my answers to the questions in Section A of this form are	
Transferce's Payer's Signature	23. Recertification Date
	2/27/19
Revis I fell	7/1//
	Continue to Next Page
STAPLE IF PAGES	BECOME SEPARATED

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Section D - Mus	t Be Completed By	Transferor/Seller E	en If The Firearm(s) is	Not Transferred	
24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number		27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
1. Revolution	<i>33</i> 8	HENTE		States	410
2.		A4601	94	8	
3.				1	<u></u>
4.		The second section of the second seco			
REMINDER - By the Close of Business	Complete ATF For	rm 3310.4 For Multip	le Purchases of Handgu	ns Within 5 Consecutive	Business Days
29. Total Number of Firearms Transferred tone, two, three, etc. Do not use numer 31. For Use by Licensee (See Instructions for SOY - 240 - 504) 33. Trade/corporate name and address of transferred to for FL Number N-XY-XXXXX (Hand FAAZ leas Paum Son Address of transferred to the second s	or Question 31) ansferor seller and lesimp may be used	WE Federal Firearm License (.)	32. Check if this transact (See Instruction	tion is to facilitate a privation is for Question 32.) t least first three and last	e. te party transfer five digus
The Po	erson Transferring	The Firearm(s) Mus	t Complete Questions 3 ed Section B Must Comp	4-37.	<u> </u>
I certify that: (1) I have read and understand turne, correct, and complete; and (3) this entire temporarily conducted from a qualifying guns requirements of 18 U.S.C. 922(c). Unless this in Section A (and Section C, if applicable); (2) my was completed); and (3) State or local law applies	he Notices, Instruction transaction record hat how or event in the stansaction has been a verification of the ide	ons, and Definitions on the as been completed at my ame State in which the li- tenied or cancelled, I fur- entification recorded in a	is ATF Form 4473; (2) the in fleensed business premises (censed premises is located) of ther certify on the basis of— westion 18 (and my re-verifi	nformation recorded in Sec "licensed premises" includ- unless this transaction has i – (1) the transferee's/buyer' ication at the time of transf	es business net the 's responses in er, if Section C

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Transferor's/Seller's Name (Please print) 35.

dispose of the firearm(s) listed on this form to the person identified in Section A.

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferor buyer of certain restrictions on the receipt and possession of firearms. The transferor seller of a firearm must determine the havfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller most be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's seller's State and the transferor's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances.)

Generally, AFF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(e), allows a licensed importer, manufacturer, or dealer to self a firearm to a nonlicensed who does not appear in person at the licensee's business premises only if the transferce/buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478,96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such-Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED C NNCT LED TRANSLERS MUST BE RETAINED If the transfer of a firearm is denied cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the heensee must retain the ATF Form 4473 in his her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical thy name of transferces or chronological the date of transferce's certifications order.

Transferor's Seller's Title

If the transferor/seller or the transferee buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor seller or the transferee buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor seller should only make changes to Section 8 and D. The transferee buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify *(sign)* that the answers are true, correct, and complete. However, if the transferee buyer is unable to read and/or write, the answers *(other than the signature)* may be completed by another person, excluding the transferor seller. Two persons *(other than the transferor/seller)* must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

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37. Date Transferred